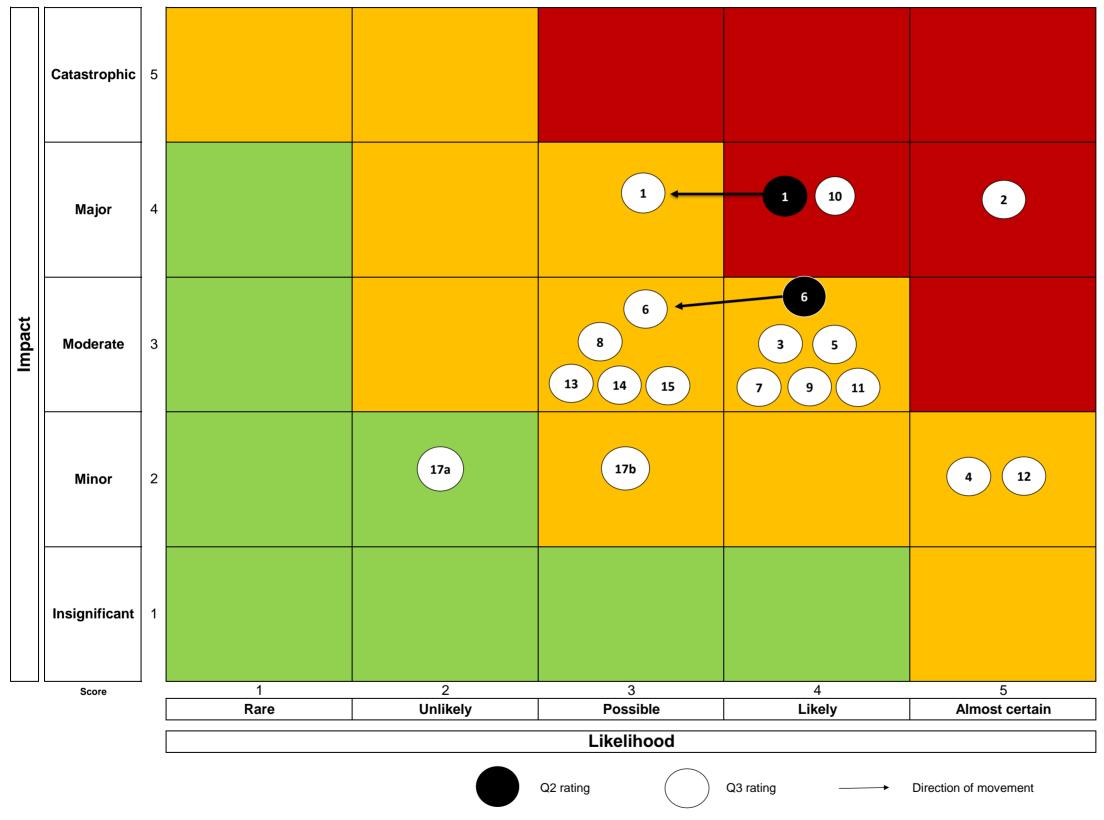
CORPORATE RISK REGISTER Q3 DECEMBER 2018



Risks

- 1. Failure to deliver a balanced budget.
- 2. Insufficient clarity around Member and Officer roles.
- 3. Inadequate succession planning and staff retention.
- 4. Inabilitiy to meet and manage the demands of homelessness.
- 5. Failure to manager or failure to deliver or expose new risks as a result of poor project management practice.
- 6. Legal obligations under GDPR are breached.
- 7. Plans for improving the economic prosperity and regeneration of Northampton are not delivered.
- 8. NBC fails to manage its partnerships (LGSS, NPH, NLT).
- 9. Major or large scale incident causes business interruption.
- 10. Impropriety or improper business activities leading to fraud or malpractice.
- 11. Decisions made at Council or Cabinet level are not robust to withstand legal challenge..
- 12. Inability og IT to service future requirements due to cyber attack.
- 13. Non-compliance with Fire and Health & Safety legislation.
- 14. Safeguarding arrangements are not adequate to protect vulnerable adults and children.
- 15. Failure to deliver enough new housing.
- 16. REMOVED: LGR risk To be included in LGR project risk register.
- 17a. NEW RISK: Impact of Brexit on NBC services.
- 17b. NEW RISK: Impact of Brexit on Northampton economy.

Impact

	Type of Impact Level	Health and Safety	Reputation	Financial	Legal / Regulatory
5	Catastrophic	Fatality	Critial impact on business reputation and/or national media exposure.	Financial loss in excess of £10 Million/ Impact on budget > 12 %	Regulatory and high level Government intervention/action.
4	Major	Permanent disabling injury and / or long term off work	Significant impact on business reputation and/or national media exposure.	Financial loss from £5 to £10 Million/ Impact on budget 6 -12%	Managment challenged / Large legal liabilities. Likely to result in regulatory intervention/action.
3	Moderate	Injury requiring medical treatment, time off work and rehabilitation	Moderate to small impact on business reputation.	Financial loss from £2 to £6 Million / Impact on budget 2 - 6%	Management reviewed / legal reserves established. Triggers regulatory investigation.
2	Minor	Injury requiring medical treatment with no lost time	Some impact on business reputation.	Financial net loss from £0 to £2 Million/Impact on budget < 2 %	Minimal / limited liabilities. Requires immediate regulator notification.
1	Insignificant	Minor medical treatment, no lost time.	No impact on business reputation.	No financial net loss or impact on budget	Minimal liabilities. No immediate regulator notification required.

Likelihood

5	Almost certain	Is expected to occur in most circumstances/ occurs daily - weekly
4	Likely	Could occur in most circumstances / occurs monthly
3	Possible	Has occurred here or elsewhere / Once a year
2	Unlikely	Hasn't occurred yet but could / once in 5 years
1	Rare	Hasn't occurred yet but could / once in 10 years